

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

jc682 U.S. PTO
09/542676
04/03/00

Applicants: WALKER et al.

Customer No.: 22927

Application No.: Not yet assigned

Filed: April 3, 2000

For: METHOD AND DEVICE FOR
GENERATING A SINGLE-USE
FINANCIAL ACCOUNT NUMBER

)
) Group Art Unit: 2764 (Anticipated)
)
) Examiner: D. Smith (Anticipated)
)
)
) Attorney Docket No. 96-059-1
)
) Walker Digital Corporation
) Five High Ridge Park
) Stamford, CT 06905-1325
) (203) 461-7020 / phone
) (203) 595-8266 / fax
)
)
)

Box Patent Application
Assistant Commissioner for Patents
Washington D. C. 20231

TRANSMITTAL LETTER

Sir:

Applicants hereby submit the following documents for the above-identified patent application:

1. Certificate of Express Mailing no. EL080833155US;
2. Divisional Patent Application Transmittal (Large Entity) (in duplicate);
3. Copy of Patent Application (36 pages);
4. Set of Formal Drawings (17 sheets: Figs 1-14);
5. Preliminary Amendment;
6. Copy of Combined Declaration and Power of Attorney;
7. Copy of Revocation and Appointment of Power of Attorney;
8. Information Disclosure Statement;
9. PTO-1449 with copies of references cited (23); and
10. Acknowledgment Postcard

The Assistant Commissioner is hereby authorized to charge the associated fee in the amount of \$846.00 to Deposit Account No. 50-0271. Order No. 96-059-1. The Commissioner is further authorized to charge any additional fees which may be required for the submission of this paper, or to credit any overpayment, to Deposit Account No. 50-0271. Order No. 96-059-1. A duplicate copy of this authorization is attached for such purpose.

Respectfully submitted,

April 3, 2000


Kurt M. Maschoff
Attorney for Applicants
PTO Reg. No. 38,238
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Certificate of Express Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service via Express Mail in an Envelope No. EL080833/55US with sufficient postage addressed to Assistant Commissioner for Patents, Washington, DC 20231 on April 3, 2000.

Jill Doherty
Typed Name of Person Making Deposit


Signature

04/03/2000

04-04-00

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UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
96-059-1Total Pages in this Submission
78**TO THE ASSISTANT COMMISSIONER FOR PATENTS**Box Patent Application
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for invention entitled:

METHOD AND DEVICE FOR GENERATING A SINGLE-USE FINANCIAL ACCOUNT NUMBER

and invented by:

Jay S. WALKER; Bruce SCHNEIER; Sanjay K. JINDAL; and Daniel E. TEDESCO

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 08/919,339

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 36 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☒ Cross References to Related Applications (if applicable)
 - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
 - d. ☐ Reference to Microfiche Appendix (if applicable)
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☒ Brief Description of the Drawings (if drawings filed)
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure

UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

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Application Elements (Continued)

3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)
- a. ☒ Formal Number of Sheets 17
- b. ☐ Informal Number of Sheets _____
4. ☒ Oath or Declaration
- a. ☐ Newly executed (original or copy) ☐ Unexecuted
- b. ☒ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- c. ☒ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (usable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included).
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy (identical to computer copy)
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(B) Statement (when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement/PTO-1449 ☒ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class ☒ Express Mail (Specify Label No.): EL080833155US

UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

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96-059-1

Total Pages in this Submission
78

Accompanying Application Parts (Continued)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Additional Enclosures (please identify below):

Copy of Revocation and Power of Attorney filed on 8/19/99.

Fee Calculation and Transmittal

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	6	- 20 =	0	x \$18.00	\$0.00
Indep. Claims	5	- 3 =	2	x \$78.00	\$156.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$690.00
OTHER FEE (specify purpose)					\$0.00
TOTAL FILING FEE					\$846.00

- ☐ A check in the amount of _____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0271 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$846.00 as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).



Signature

Dated: April 3, 2000

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cc: